

# NIKKO AM ARK DISRUPTIVE INNOVATION FUND

February 2020 Factsheet

## Key Facts

<b>Total Fund Size:</b>	USD 2,816,206,774
<b>Minimum Investment:</b>	USD 1,000 or equivalent in other currencies
<b>Legal Structure:</b>	Sub-fund of Luxembourg SICAV qualifying as a UCITS
<b>Investment Adviser:</b>	Nikko Asset Management Americas, Inc.
<b>Investment Sub Adviser</b>	ARK Investment Management LLC.
<b>Management Company:</b>	Nikko Asset Management Luxembourg S.A
<b>Portfolio Managers:</b>	Lawrence Prager & Takeshi Noda (Nikko AM Americas)
<b>Depository:</b>	Brown Brothers Harriman (Luxembourg) S.C.A
<b>Management Fee:</b>	USD Class B & SGD Class B: 1.50% p.a.
<b>Sales Charge:</b>	USD Class B & SGD Class B: Up to 5.0%
<b>Dealing:</b>	Daily
<b>Base Currency:</b>	USD

## Investment Objective

The investment objective of the Fund is to achieve long term capital growth. The Fund will invest, under normal market conditions, primarily in global equity securities of companies that are relevant to the investment theme of disruptive innovation - defined as the introduction of a technologically enabled new product or service that potentially changes the way the world works. The Investment Manager believes that companies relevant to this theme are those that rely on or benefit from the development of new products or services, technological improvements and advancements in scientific research relating to:

**Genomic Revolution Companies** - the study of genes and their functions and related techniques

**Industrial Innovation Companies** - in energy, automation and manufacturing

**Web x.0 Companies** - the increased use of shared technology, infrastructure and services

**FinTech Innovation Companies** - technologies that make financial services more efficient

## Performance Returns (%)

	3Mth	6Mth	1Yr	3Yr	SI
<b>Class B USD</b>					
NAV-NAV	4.16	17.14	11.39	-	18.73
NAV-NAV (with charges <sup>1</sup> )	-1.05	11.28	5.82	-	13.20
<b>Class B SGD</b>					
NAV-NAV	6.34	17.89	-	-	7.40
NAV-NAV (with charges <sup>1</sup> )	1.02	12.00	-	-	2.03

Source: Nikko Asset Management Europe Ltd, 29 February 2020

Returns are calculated on a NAV-NAV basis and assuming all dividends and distributions are reinvested, if any. Returns for period in excess of 1 year are annualised. Past performance is not indicative of future performance.

<sup>1</sup> Takes into account of maximum initial sales charge and a realisation charge, currently nil, as and where applicable.

## Fund Holdings

Top 10 Holdings	Weight
Tesla Motors, Inc	7.84%
Square, Inc	7.06%
Invitae Corp	6.58%
Illumina, Inc	6.42%
Crispr Therapeutics Ag	5.27%
Roku Inc	3.89%
2U, Inc	3.87%
Nanostring Technologies Inc	3.59%
Proto Labs, Inc	3.11%
Stratasys Ltd	3.08%

Market Cap	Weight
Mega cap: >USD 50b	14.89%
Large cap: USD 10b to USD 50b	34.99%
Mid cap USD: 2b to USD 10b	15.54%
Small cap: <USD 2b	34.42%

Currency	Weight*
US Dollar	97.61%
HK Dollar	2.23%
Japanese Yen	0.11%

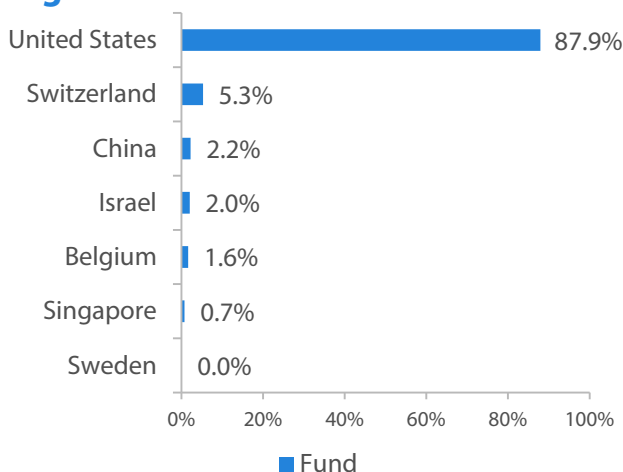
\*Amounts of less than 0.1% are not displayed

## Portfolio

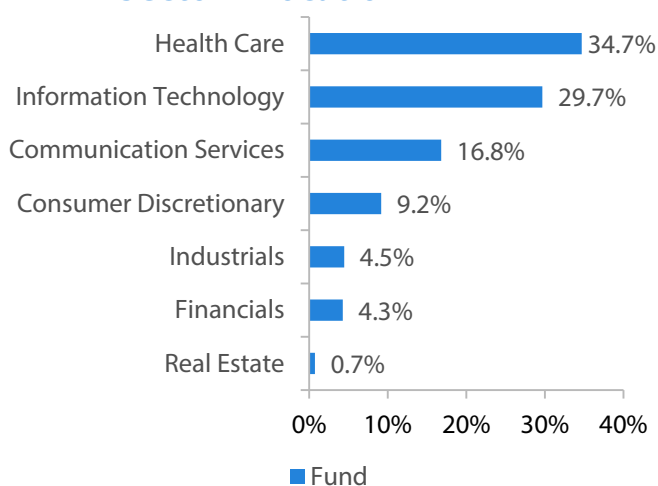
Fund Composition*	Weight
E-Commerce	10.3%
Gene Therapy	9.0%
3D Printing	8.9%
Big Data & Machine Learning	8.7%
Instrumentation	7.8%
Molecular Diagnostics	7.4%
Cloud Computing	6.3%
Digital Media	5.7%
Internet of Things	4.9%
Bioinformatics	3.9%
Autonomous Vehicles	3.8%
Robotics	3.8%
Social Platforms	3.5%
Mobile	3.1%
Beyond DNA	2.9%
Energy Storage	2.6%
Targeted Therapeutics	2.6%
Next Generation Oncology	1.4%
Blockchain & P2P	1.2%
Development of Infrastructure	1.0%
Space Exploration	1.0%

\*Elements defined by ARK Invest

## Regional Allocation



## Sector Allocation



## Fund Information

	Inception Date	Nav Per Share	ISIN Code	Bloomberg Ticker
USD Class B	31 January 2019	USD 12.03	LU1861558580	NIKADBU LX
SGD Class B	26 July 2019	SGD 10.74	LU1861559042	NIKADBS LX

Information sources include Bloomberg, Brown Brothers Harriman (Luxembourg) S.C.A., SMBC Nikko Bank (Luxembourg) S.A., Nikko Asset Management Americas, ARK Investment Management LLC. and Nikko Asset Management Co., Ltd. All information as of 29 February 2020.

## Contact Us

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